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### State-Led Public Assistance

September 2018

**DRAFT** 





### State-Led Public Assistance Timeline

The concept of state-led Public Assistance (PA) is not new; states have managed PA operations for small disasters since 2000.

2000

FEMA
established pilot
program to field
test state-led PA
concept

2001

FEMA issued
Federal Register
Notice (FRN)
formally
establishing
state-led PA



Six states have elected to conduct

state-led PA

2002-2017



2018

FEMA
developed new
guidance for
state-led PA
operations



## Guide Development and Refinement

FEMA developed the *State-Led Public Assistance Guide* with input from regions, states, and various FEMA components.

Outreach	Date	Outcome	
Stakeholder Engagement Session	July 2018	Collected input from 10 states, 3 FEMA regions, and SMEs across FEMA	
Working Groups	August and September 2018	Collected feedback from SMEs across several FEMA regions and components	
Targeted Review	August 2018	Received 260 comments from 8 FEMA HQ components, 8 FEMA regions, and 8 states	

FEMA intends to revise and refine this guidance as more states gain experience conducting state-led PA operations.



## Concept for State-Led PA

**Purpose:** Logically groups responsibilities for PA grant delivery into options that align with the three key functions of the PA process. Each function has both 'shared' and 'plus' versions, leading to six options that Recipients can choose to opt into.

Should Recipients opt-into a state-led PA operation, they can choose one or more options, depending on their capacity and capability.

**Methodology:** The Recipient, in coordination with FEMA, selects the appropriate option(s) based on disaster characteristics and the Recipient's capacity at the time of the disaster.

#### **KEY FUNCTIONS**

**Customer Service:** Recipients serve as the single point of contact for Subrecipients, providing consistent customer service and programmatic guidance throughout the PA grant lifecycle.

**Site Inspections:** Recipients conduct site visits to assess damage and document if damage descriptions are accurate and disaster-related.

**Scoping and Costing:** Recipients develop the scope of work (SOW) and cost estimate for some or all subgrants or projects under the PA award.



# Concept for State-Led PA

	Shared	Plus	Combination
Customer Service	Recipient performs the customer service responsibilities for some Subrecipients, while FEMA performs them for the rest.	Recipient performs all customer service responsibilities for all Subrecipients.	For a presidentially declared disaster, Recipients may select one or more of the options to the left.  Recipients may also choose all of the 'plus' options for a fully state-led PA operation.
Site Inspections	Recipient performs the site inspection responsibilities for some projects, while FEMA performs them for the rest.	Recipient performs all site inspection responsibilities, including providing specialized and technical expertise, for all projects.	
Scoping and Costing	Recipient performs scoping and costing functions for some projects while FEMA performs them for the rest.	Recipient performs all scoping and costing functions for all projects.	



## **Key Decision-Making Considerations**

These key decision-making considerations will help inform the dialogue between FEMA regions and Recipients when deciding to pursue a state-led PA operation.

#### **Recipient Capacity**

- Adequate staffing levels
- Staffing plan
- State-funded PA program
- Previous state-led PA experience
- Commitment or history of PA training
- History of PA Alternative Procedures (Section 428)
- Mitigation or EHP experience

FEMA

#### **Disaster Characteristics**

- Number of Applicants
- Type(s) of Applicants
- Categories of work
- Number of sites
- Concentration of damage
- Geographical context
- Disaster complexity



### Resource Toolkit

#### Operational Agreement (Template) \*\*

Agreement indicating a Recipient's intent to opt into a state-led PA operation – attached as an addendum to the FEMA-State Agreement (FSA).

#### PA Management Plan (Template)

Identifies mutually agreed upon Recipient responsibilities for the PA operation and outlines the resources the Recipient will use to lead the operation.

### Training Resources

Resources available including Position Assists, PA Program Trainings, Position-Specific Trainings, and Grants Portal/Manager Training, among others.

#### **State-Developed Materials**

Resources on staffing options, training, and systems access developed by states who have previously participated in state-led PA operations.







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