

2022 Biennial Survey

NEMA kicks off the 2022 Biennial Survey and Report process! The Biennial Report is our flagship publication and a well-known research document for states, the federal government, Congress, and academic institutions for over the past two decades. Survey submissions are due no later than close of business, Friday, December 10, 2021.

This is a one-of-a-kind report on which NEMA stakes its credibility. The data from this survey positions NEMA's Congressional testimony and quantitatively provides information on the importance and need for adequate all-hazards emergency preparedness funding. Additionally, state directors use the data to testify before their own legislatures regarding agency evaluations and need assessments. NEMA also provides the report to all governors, key members of Congress, and federal partners that look to lean in on active emergency management initiatives.

The survey tool will look and navigate a little different than past surveys but should provide better functionality to ease this process.

Survey instructions:

1. An email will be sent directly to you that provides a personalized survey link for your state. Please feel free to provide this log in access to others if they are assisting with the survey.
2. Click on the link and begin completing the survey. **Please be sure to click the "Save Progress" button often to save your work.**
3. There is a navigation menu at the top of the survey, identified by three bars, that will allow you to maneuver from each section of the survey.
4. State Directors are asked to review the data before you hit the "finish" button. *Finish means submit!*
5. Once your survey is submitted, you no longer have access to the personalized link.

Questions regarding the survey should be directed to Jessica Byrski, NEMA Senior Policy Analyst, at jbyrski@csg.org or (859) 244-8112. NEMA will provide the information from your 2020 Biennial Survey submission for reference. If you have specific questions about your historical data, Jess can also assist you.

We understand how extremely busy you are. NEMA greatly appreciates the time and effort given to participate in the 2022 Biennial Survey!

Thank you!
The NEMA Team



FAQs

When is the deadline? To ensure the integrity of this effort, it is imperative to complete and submit all responses online by **close of business on Friday, December 10, 2021**. As this survey may require the input of several state agencies and staff, please factor in these response times to meet the survey deadline.

Who should complete this survey? You may choose to have staff help complete the survey. However, please remember, this data will be used by your state and will be published nationally. Full and accurate reporting is imperative. *Please verify all final answers before finishing the survey!*

Must I enter all data at once, or can I save my work? You can easily log in and out of your state's survey as you collect data, so you do not need to wait and input all answers at one time. *Be sure to hit the "Save Progress" button at the top of the page, often so that you can save your answers as you work through the survey.* Your data will be available throughout the survey period, from November 12 through December 10, 2021. After that, the survey will be closed.

Is a hard copy available for routing to other staff and/or agencies? You may download a hard copy of the survey for routing, a link to the pdf version of the survey is provided in your email. But you must complete and submit the survey online. *Hard copies cannot be accepted as a submission.* We strongly suggest downloading a hard copy and using it to help you collect the data.

How should the data be entered? For dollar amounts and percentages, you can utilize decimals. Be sure to pay attention to the areas that ask for totals being 100%. Please double-check for accuracy before moving on to the next question. **We request data based on the federal fiscal year, so FY 2021 refers to October 1, 2020 through September 30, 2021, and FY 2022 refers to October 1, 2021 through September 30, 2022.**

Why are some questions duplicative of the EMPG ROI Survey? Section 11 includes questions from NEMA's "EMPG Return on Investment" survey, which states have submitted since 2011. This data will be combined with similar questions asked by IAEM of their membership and used to create a separate report. Including these questions in this process prevents the need for an additional data request. The EMPG ROI report remains one of our primary sources for defending EMPG.

Survey Navigation menu. There is a navigation menu at the top of the survey (the three lines located in the gray bar) that will allow you to maneuver from section to section with ease:



NEMA 2022 Biennial Survey

*For your reference: Definition of FY 2021 = October 1, 2020 through September 30, 2021
Definition of FY 2022 = October 1, 2021 through September 30, 2022*

Section 1:

State: _____

Section 2:

===== **Contact Information for Questions and Validation** =====

To assist staff in confirming and clarifying responses, please list the person(s) responsible for completing this survey.

Primary Contact

Name: _____
Phone: _____
Email: _____

Secondary Contact

Name: _____
Phone: _____
Email: _____

Section 3:

===== **Emergency Management Organization** =====

1. Is the emergency management director position in your state an appointed (non-merit) position?
 Yes
 No

2. Regardless of whether the position is appointed or selected through a merit system, who is responsible for either making the appointment or selecting the candidate?
 Governor
 Adjutant General
 Homeland Security Director
 Public Safety Secretary/Commissioner
 State Police Superintendent/Director/Commander
 Other (please specify) _____



3. Under which department/office is your emergency management agency organized for day-to-day operations?

- Governor's Office
- Adjutant General/Military Affairs
- Combined Emergency Management/Homeland Security
- Public Safety
- State Police
- Other (please specify) _____

4. Who does the state emergency management director report to on a day-to-day basis?

- Governor
- Adjutant General
- Public Safety Secretary/Commissioner
- State Police Superintendent/Director/Commander
- Other (please specify) _____

5. What is the total number of full-time equivalent (FTEs) positions in your state emergency management agency? **Please note – SELECT ONLY ONE ANSWER BELOW! If your emergency management and homeland security agency is combined, please select the second response below and provide the total number of FTEs for both.**

- Our emergency management and homeland security agencies are separate. This is the number of FTEs for our Emergency Management agency only.
- Our emergency management and homeland security agency is combined. This is the total number of FTEs for our combined agency. (If you select this response, it should be identical to your response to question 8.)

Section 4:

=====State Homeland Security=====

6. In your state, who has the governor designated as the homeland security advisor? **Please note – while other individuals within your state government might implement homeland security programs, this person is recognized by DHS as the HS advisor.**

- Emergency Management Director
- Homeland Security Director
- Dual Title - Emergency Management/Homeland Security Director
- Adjutant General
- Public Safety Secretary/Commissioner
- Special Assistant to the Governor
- Lieutenant Governor
- State Police Superintendent/Director/Commander
- Other (please specify) _____

7. Under which department/office is your homeland security function organized for day-to-day operations?



- Governor's Office
- Adjutant General/Military Affairs
- Homeland Security (stand-alone office)
- Combined Emergency Management/Homeland Security Office
- Public Safety
- State Police
- Other (please specify) _____

8. What is the total number of full time equivalent (FTEs) positions in your state homeland security agency? ***Please note – SELECT ONLY ONE ANSWER BELOW! If your homeland security and emergency management agency is combined, please select the second response below and provide the total number of FTEs for both.***

- Our homeland security and emergency management agencies are separate. This is the number of FTEs for our Homeland Security agency only.
- Our homeland security and emergency management agency is combined. This is the number of FTEs in our combined agency. (If you select this response, it should be identical to your response to question 5.)

9. How is your state homeland security office/division/department funded? ***Please note – if your state homeland security office is separate from the emergency management agency, please contact them for percentages.***

- % federal grants
 - % state appropriations
 - % other
- (Total must equal 100%)***

10. How are State Homeland Security Grant Funds allocated to local jurisdictions in your state? For all that apply, enter how the factors are weighted by percentage.

	Enter Factors by %
Base amount	_____
Population	_____
Risk and vulnerability assessment	_____
At the discretion of the granting agency	_____
Meet performance standards/program requirements	_____
Competitive investment justifications	_____
Other (please identify) _____	_____
Total percentage must equal 100%	_____



Section 5:

=====Emergency/Federal Declarations=====

Please note this section is in consideration of the **non-COVID** declarations in **FY2021**.

11. In FY2021, how many gubernatorial emergencies (state of emergency declarations) were declared under state authority in your state? _____

12. In FY2021, how many events required a significant commitment of state resources, but were **not** declared states of emergencies? _____

13. In FY2021, how many non-COVID federal Stafford Act disaster, FMAGs, or emergency declarations were requested? _____

14. In FY2021, how many non-COVID federal Stafford Act disaster, FMAGs, or emergency declarations were approved?

15. In FY2021, did your state have any non-COVID, non-Stafford Act disaster or emergency declarations under the other federal authorities listed below? Check all that apply and include the number for each.

___ USDA ___ How many?

___ HHS ___ How many?

___ SBA ___ How many?

___ No disasters/declarations under these federal authorities

Section 6:

===== Emergency Management Budgets=====

In consideration of the non-federal funding streams that comprise the **FY2022** operating budget for your state emergency management agency. For each question, list the dollar amount that your agency receives from that funding stream. If the funding stream exists, but is unfunded, indicate that as well. **Do not include any federal grants such as EMPG, SHSGP or disaster appropriations in answers.**

For the state emergency management agency **FY2022** annual operating budget:

16. What dollar amount was **appropriated by the state legislature** for the state emergency management agency FY2022 annual operating budget? Do not include federal funds.
\$ _____

17. What dollar amount was **provided from radiological emergency preparedness/nuclear facility fees** for the state emergency management agency FY2022 annual operating budget? Do not include federal funds.
\$ _____



18. What dollar amount was provided from **fees on insurance policies** for the state emergency management agency FY2022 annual operating budget? Do not include federal funds.
\$ _____

19. What dollar amount was provided from **fees on deeds** for the state emergency management agency FY2022 annual operating budget? Do not include federal funds.
\$ _____

20. What dollar amount was provided from other fees that do not include federal funds for the state emergency management agency FY2022 annual operating budget? Please list each type and the amount for each.

21. Are any of the funding streams listed above unfunded?

- No
- Yes, which ones?

22. In consideration of the information provided for this annual operating budget picture (the answers from questions 16- 20), what is the total dollar amount for the state emergency management agency FY2022 annual operating budget?

23. Which official in your state is designated as the **State Administering Agency** for federal grant funding from DHS/FEMA?

- Emergency Management Director
- Homeland Security Director
- Dual Title – Emergency Management/Homeland Security Director
- Adjutant General
- Public Safety Secretary/Commissioner
- State Police Superintendent
- Other (please specify) _____

Section 7:

===== **Disaster Funding Mechanisms** =====

24. Please select the statement or statements that most accurately represent general emergency funding mechanisms used in your state. Check all that apply.

- Funds are appropriated by the legislature for specific incidents after each major disaster occurs.
- A separate disaster fund(s) exists, and funds are appropriated as needed to keep an adequate amount of money available at all times.
- A disaster trust fund exists, in which revenues from specified sources (such as tax



on insurance policies or a certain percentage of tax receipts specified in statute) are deposited and used as needed for a specified purpose; please describe the fee or tax structure:

___ Other funding mechanisms exist in order to generate funds for state disaster assistance programs and/or for the non-federal share of federal programs. Please explain:

Section 8:

===== Expenditures for Emergency Management Programs =====

This section details how states run their disaster assistance programs. Specifically, the section includes questions on the Public Assistance Program; the Hazard Mitigation Grant Program; and State Funded Disaster Assistance Program(s).

Public Assistance Program

25. Please indicate what portion the state pays of the 25% non-federal share of eligible local government and private non-profit entity *Public Assistance Program* costs. Select only one answer.

- ___ State pays 25%
- ___ Even split with local governments
- ___ Split with local governments and private non-profits.

Indicate split: _____

- ___ State does not pay any portion
- ___ Other, please explain: _____

26. How is the state portion of the non-federal share for the *Public Assistance Program* determined? Select only one answer.

- ___ Case by case basis
- ___ Law
- ___ Regulation/Policy
- ___ Not Applicable

Hazard Mitigation Grant Program

27. Please indicate what portion the state pays of the 25% non-federal share of eligible local government and private non-profit entity *Hazard Mitigation Grant Program* costs. Select only one answer.

- ___ State pays 25%
- ___ Even split with local governments
- ___ Split with local governments and private nonprofits.

Indicate split: _____

- ___ State does not pay any portion
- ___ Other, please explain: _____

28. How is the state portion of the non-federal share for the *Hazard Mitigation Grant Program* determined? Select only one answer.

- Case by case basis
- Law
- Regulation/Policy
- Not Applicable

State Funded Disaster Program

29. Has your state established its own state funded disaster assistance program for non-federally declared disasters?

- No
- Yes

If yes, select the type of program(s) your state offers:

A survey sidenote: In the survey, you will be asked to check the program(s) your state offers to provide access to the questions below regarding each program you choose.

State Funded Public Assistance Program

Please indicate the funding source of the state-funded public assistance program:

What is the funding allocation amount (in dollars) for the state-funded public assistance program?

\$ _____

Please indicate the eligibility requirements of the state-funded public assistance program.

Please select the type of state-funded public assistance provided. Check all that apply.

- Loans
- Grants
- Matching Funds
- Direct Assistance

Is the program currently unfunded?

- Yes
- No

Please indicate if you consider your state-funded public assistance program a model program.

- Yes
- No

State Funded Individual Assistance Program



Please indicate the funding source of the state-funded individual assistance program:

What is the funding allocation amount (in dollars) for the state-funded individual assistance program?

\$ _____

Please indicate the eligibility requirements of the state-funded individual assistance program: _____

Please select the type of state-funded individual assistance provided. Check all that apply:

- Loans
- Grants
- Matching Funds
- Direct Assistance

Is the program currently unfunded?

- Yes
- No

Please indicate if you consider your state-funded individual assistance program a model program.

- Yes
- No

State Funded Unmet Needs Program

Please indicate the funding source of the state-funded unmet needs program.

What is the funding allocation amount (in dollars) for the state-funded unmet needs program.

\$ _____

Please indicate the eligibility requirements of the state-funded unmet needs program.

Please select the type of state-funded unmet needs provided (Check all that apply):

- Loans
- Grants
- Matching Funds
- Direct Assistance



Is the program currently unfunded?

- Yes
- No

Please indicate if you consider your state-funded unmet needs program a model program.

- Yes
- No

State Funded Economic/Business Recovery Disaster Assistance Program

Please indicate the funding source of the state-funded economic/business recovery disaster assistance program.

What is the funding allocation amount (in dollars) for the state-funded economic/business recovery disaster assistance program?

\$ _____

Please indicate the eligibility requirements of the state-funded economic/business recovery disaster assistance program.

Please select the type of state-funded economic/business recovery disaster assistance provided. Check all that apply.

- Loans
- Grants
- Matching Funds
- Direct Assistance

Is the program currently unfunded?

- Yes
- No

Please indicate if you consider your state-funded economic/business recovery disaster assistance program a model program.

- Yes
- No

Other State Funded Disaster Assistance

Please indicate the title and funding source of the other state-funded disaster assistance.

What is the funding allocation amount (in dollars) for the other state-funded disaster assistance?



\$ _____

Please indicate the eligibility requirements of the other state-funded disaster assistance.

Please select the type of other state-funded disaster assistance provided. Check all that apply.

- Loans
- Grants
- Matching Funds
- Direct Assistance

Is the program currently unfunded?

- Yes
- No

Please indicate if you consider your other state-funded disaster assistance a model program.

- Yes
- No

Section 9:

===== Emergency Management Performance Grant Program Funding Allocations=====

29. How does your state meet the EMPG 50% match requirement? Enter percentages.

- _____ Hard Match
- _____ Soft Match
- _____ *Total must equal 100%*

30. How are EMPG funds allocated in your state? (Total must equal 100%) ***Please note – the percentage you list below for local programs should equal the percentage you get when dividing your response to question 37 by your response to question 38.***

- _____ % Local (county or city) emergency management programs
- _____ % Tribal governments
- _____ % Other state agencies
- _____ % Retained by the state emergency management agency
- _____ % Others (*please identify*) _____
- _____ % Total (must equal 100%)

31. How many local jurisdictions were eligible to receive EMPG funding in FY2021?

32. Of these eligible local jurisdictions, how many received EMPG funding in FY2021?



33. Were there local emergency management programs that received reduced funding in FY2021?

Yes

How many?

What were the reasons? Check all that apply.

EMPG funding shortfall within the state

Could not meet the 50% match

Did not meet requirements to receive EMPG funds

Other – Please explain _____

No programs received reduced funding

34. Are there laws or rules that limit your discretion by prescribing how EMPG funds are allocated?

State statute

Please describe state statute limitations:

Administrative rule

Please describe the administrative rule limitations:

There are no laws or rules regarding EMPG funding allocation.

35. How are EMPG funds distributed in your state?

State retains 100% of funding

State does not retain 100%

If your state does not retain 100%, please identify the allocation of EMPG funds to emergency management programs in your state? Enter each factor as a percentage.

	Enter Factors by %
Base amount	_____
Population	_____
Area or region	_____
Hazards or risks	_____
Meet performance standards (e.g. EMAP, certifications, continuing education, meeting state-specified program requirements)	_____
Salary and fringe benefits of EM director	_____

Whether the EM director works part time or full time _____

Number of people dedicated to the local emergency management program _____

Number of hours worked on emergency management per week _____

At the discretion of the granting agency _____

Other Factor 1
Please identify the factor _____
Enter the percentage _____

Other Factor 2
Please identify the factor _____
Enter the percentage _____

Other Factor 3
Please identify the factor _____
Enter the percentage _____

Other Factor 4
Please identify the factor _____
Enter the percentage _____

Other Factor 5
Please identify the factor _____
Enter the percentage _____

Total must equal 100% _____

36. Regarding the EMPG funds that you retain at the state level, what services do you provide to local emergency management jurisdictions using these funds? Check all that apply.

- Not applicable – do not retain any funds at state level
- Regional/local coordination/oversight
- Training/Exercises
- State EM Conferences
- Planning
- Technical Assistance in meeting federal requirements
- Other (Please explain) _____

Section 10:

===== **EMPG Reimbursements to Eligible Jurisdictions** =====

37. What was the total EMPG allocation (in dollars) to your state for FY2021?

\$ _____

38. How many EMPG dollars did your state allocate to local governments **only** in FY2021? Do not include tribes, other state agencies, etc. in this amount.

\$ _____

39. What is the dollar amount needed to bring all *participating* jurisdictions up to the 50% reimbursement level explained in question 32?

\$ _____

40. Regardless of their EMPG-funding status, have jurisdictions in your state cut their emergency management programs because of economic conditions?

Yes

How many jurisdictions? _____

How did the jurisdictions cut their programs?

Less % of time

Cut in staff (layoff, furlough, hiring freeze)

Consolidation with another program

Consolidation with another jurisdiction

Other Specify _____

No, there have been no cuts.

41. Did any local jurisdictions return EMPG funds to the state because they were unable to meet the match?

Yes

If yes, how much money was returned to the state?

\$ _____

No

42. Does your state provide local governments with any additional *state* funds that are similar to EMPG and which are designed to ensure that these local governments accomplish certain specific emergency management related tasks or goals?

Yes

On what basis?

Competitive

Formula (please identify formula here)

Need/risk assessment

Other (please identify here)

No additional funds are provided.



Section 11:

=====EMPG Return on Investment=====

This section represents questions from the annual EMPG Return on Investment survey conducted by NEMA. Please provide state numbers only. IAEM provides local numbers. Your responses in this section should reflect only those activities conducted *for FY 2021, which is October 1, 2020 through September 30, 2021.*

Planning and Operations

43. What were your three top strategic priorities funded by EMPG, and what milestones were achieved within those three priorities?

44. How many actual emergency response incidents were supported using EMPG funded staff or EMPG funds? This includes only those incidents in which *no federal assistance was utilized.* (We understand that COVID-19 may have impacted the number of activations in your state this year. This is only for events that did not include federal assets.)

45. Please list the number of plans developed, maintained, or updated using EMPG funded staff or EMPG funds. (Plans could include but not limited to Emergency Operations Plans; Continuity of Government/Operations; Evacuation plans; Special Needs planning; Supporting Annexes and Appendices.)

46. Of the number of plans indicated in question 45, please provide a list of some of those plans developed, maintained, or updated using EMPG funded staff or funds.

Training and Exercise

NEMA understands that training and exercise participation can vary greatly depending on any emerging incidents or issues within a jurisdiction. If you do not know exact participation numbers, please provide your best estimate.

47. Please list the total number of Emergency Management related (Mitigation, Preparedness, Response, Recovery) classes conducted in your state using EMPG-funded staff or EMPG funds. Classes include any training or education for public officials; emergency response personnel; citizens and emergency management personnel.

48. Please provide specific examples of the types of classes that were held in your state (if applicable).

49. Please list the number of emergency management/responder personnel in your state trained using EMPG funded staff or EMPG funds.

50. Of those courses, how many personnel successfully completed their course?

51. Please list the number of exercises that were designed, conducted, or evaluated using EMPG funded staff or EMPG funds. This includes but is not limited to the testing of plans and capabilities through workshops, tabletop exercises, drills, functional and full-scale exercises.

52. Please list the total number of exercise participants from the exercises listed in the previous question.

Public Outreach

53. Please list the number of outreach campaigns supported or conducted using EMPG funded staff or EMPG funds during the performance year. Examples include any virtual or in-person workshop or training session, or any public awareness campaign conducted through any medium designed to increase awareness of locally significant threats and hazards to help residents be more prepared to prevent, protect against, mitigate, respond to, and recover from those threats and hazards.

54. Please provide the specific examples of the types of public outreach conducted.

55. Of those outreach campaigns listed, please provide the total estimated number of citizens who benefitted from the outreach activities. For example, if you did a preparedness campaign with a school district, how many students/teachers were involved?

Mutual Aid

56. Please list the number of Mutual Aid Agreements (MAA), Memoranda of Understandings (MOU) and Memoranda of Agreements (MOA) established, maintained, or updated using EMPG funded staff or EMPG funds. This includes agreements with neighboring jurisdictions, non-profits, VOADs, Private Sector, etc. to provide emergency assistance in the event of disasters or emergencies.

Support Systems

57. Please list the number of emergency response systems/services purchased or maintained using EMPG funds. Emergency response systems include those systems or services that support



emergency response operations. Examples are disaster management software (ex. WebEOC); shelter management software; Voluntary and Donations Management Services and systems which provide the ability to alert and warn response organizations and the general public of pending and spontaneous disaster events.

58. Please list the number of community warning systems that were purchased, maintained, or upgraded using EMPG funds.

59. Please list the number of redundant communications systems at the state level that were established, maintained, or enhanced using EMPG funds.

60. How many Emergency Operations Centers at the state level were supported using EMPG funds or staff?

Application Denials

61. How many applications for EMPG went unfunded this year?

62. What was the total dollar amount of the unfunded applications?
\$ _____

63. What was the impact of the denial on your program?

EMPG Supplemental from CARES Act and American Rescue Plan

64. How did your state utilize the EMPG supplemental funding provided as part of the CARES Act and the American Rescue Plan? Choose all that apply.

- Did not receive supplemental funding
- Planning
- Organization
- Equipment
- Training
- Exercises
- Other (please specify)

65. What funding source(s) or other eligible activities did your state use to meet the EMPG supplemental match requirement?



Model Practices

66. Please list any special project or projects not already addressed in this section of the survey that was/were accomplished through EMPG funding.

67. Please describe any "success stories" that relate how EMPG funds have impacted the implementation of any disaster management activities and/or incident management.

Section 12:

=====Mutual Aid=====

68. Does your state have a statewide mutual aid agreement in place to deploy local government resources?

- Yes
- No

If yes, what is the mechanism used? Check all that apply.

- Legislation
- Policy
- Memorandums of Agreement
- Governor Executive Order
- State does not have an agreement

If Legislation is one of the mechanisms chosen, is the statewide agreement opt-in or opt-out for local jurisdictions?

- Opt-in
- Opt-out

69. Does your state have the legal authority to deploy volunteer resources?

- Yes
- No

If yes, what is the mechanism used? Check all that apply.

- Legislation
- Policy
- Memorandums of Agreement
- Governor Executive Order

70. Does your state have the legal authority to deploy private sector and/or non-government resources?



- Yes
- No

If yes, what is the mechanism used? Check all that apply.

- Legislation
- Policy
- Memorandums of Agreement
- Governor executive order

71. If your state has tribal governments, are you able to deploy tribal resources as mutual aid resources?

- Yes
- No

If yes, what is the mechanism used? Check all that apply.

- Legislation
- Policy
- Memorandums of Agreement
- Governor executive order

Thank you for taking your time to complete this important survey!